

# A review of current approaches to identifying human genes involved in myopia

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**Wing Chun Tang**<sup>†‡</sup> BSc(Hons)  
**Maurice KH Yap**<sup>†</sup> PhD MCOptom FAAO  
**Shea Ping Yip**<sup>†</sup> MPhil PhD FIBMS  
<sup>†</sup>School of Optometry and the  
<sup>‡</sup>Department of Health Technology and  
Informatics, The Hong Kong Polytechnic  
University, Hong Kong SAR, China  
E-mail: shea.ping.yip@polyu.edu.hk

The prevalence of myopia is high in many parts of the world, particularly among the Orientals such as Chinese and Japanese. Like other complex diseases such as diabetes and hypertension, myopia is likely to be caused by both genetic and environmental factors, and possibly their interactions. Owing to multiple genes with small effects, genetic heterogeneity and phenotypic complexity, the study of the genetics of myopia poses a complex challenge. This paper reviews the current approaches to the genetic analysis of complex diseases and how these can be applied to the identification of genes that predispose humans to myopia. These approaches include parametric linkage analysis, non-parametric linkage analysis like allele-sharing methods and genetic association studies. Basic concepts, advantages and disadvantages of these approaches are discussed and explained using examples from the literature on myopia. Microsatellites and single nucleotide polymorphisms are common genetic markers in the human genome and are indispensable tools for gene mapping. High throughput genotyping of millions of such markers has become feasible and efficient with recent technological advances. In turn, this makes the identification of myopia susceptibility genes a reality.

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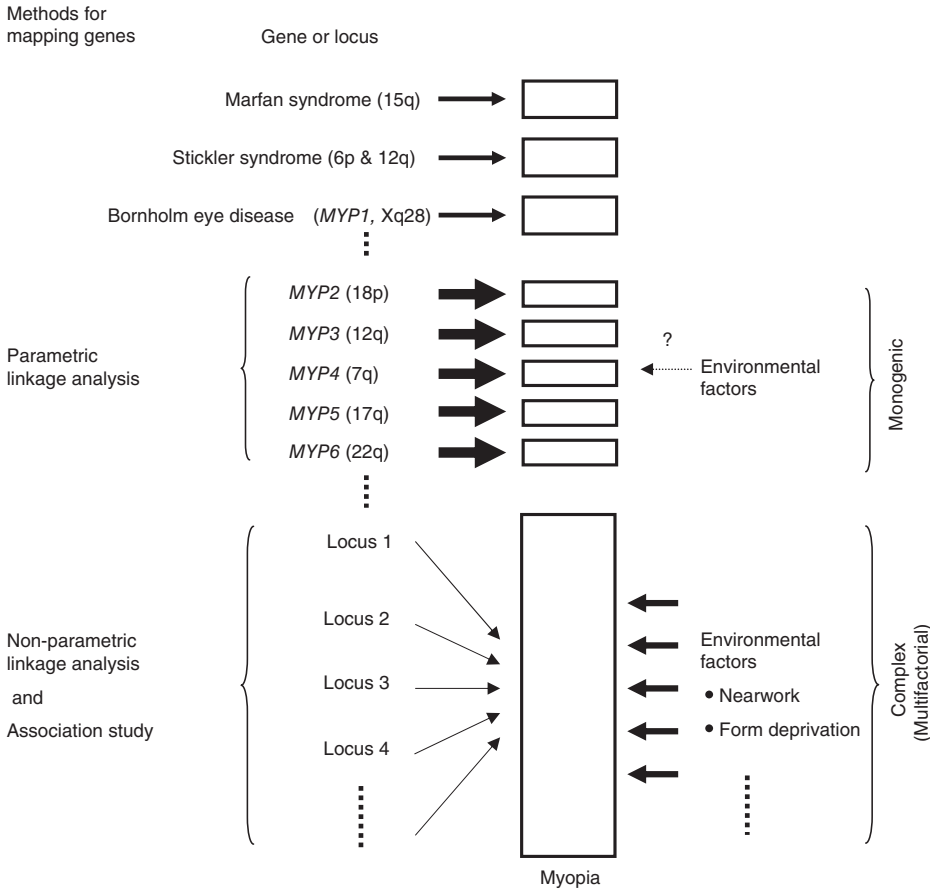
Myopia is the most common eye disorder in the world. The prevalence of myopia is estimated to be 17, 26 and 27 per cent in Australia, the United States and Western Europe, respectively.<sup>1–7</sup> It is especially high (71 to 96 per cent) in Asian regions and countries including Hong Kong, Taiwan and Singapore.<sup>8–11</sup> Severely myopic eyes are prone to degenerative changes, such as retinal degeneration and glaucoma, which can lead to visual impairment. In the long term, such problems will impose a heavy burden on the health care system and the economy of the society concerned.

The development of myopia is usually due to the excessive growth of the eyeball,

as evident from many biometric studies on the eyes of humans and other animals.<sup>12</sup> The aetiology or mechanism underlying this abnormal ocular growth is still unclear, while the debate about the role of nature and nurture in myopic development continues.<sup>13,14</sup> From a simplistic viewpoint of genetics, myopia can appear as part of a rare disease syndrome, as a rare monogenic trait (or disease), or more commonly, as a complex trait (or disease) (Figure 1).

Myopia, usually high myopia, is sometimes presented as one of the features in a wide variety of rare heritable disease syndromes.<sup>15</sup> Many of these uncommon

syndromes are single-gene disorders, such as Stickler syndrome and Marfan syndrome, and some are chromosomal in nature such as Down syndrome. There are also rare cases of myopia, typically high myopia, that show simple Mendelian inheritance patterns (dominant, recessive or sex-linked).<sup>16</sup> By definition, such cases probably represent the monogenic form of myopia, which is caused by mutations in a single gene. It is very likely that there is a handful of such myopia genes and mutations in each of these genes are expected to cause directly the monogenic form of myopia.



**Figure 1.** Myopia can appear as part of a syndrome, in rare monogenic form or more commonly as a complex trait/disease. The boxes represent syndromes (with myopia as one of the presenting features) or myopia (monogenic or complex forms). The size of the boxes serves as a very crude indication of the frequency of the syndromes or different forms of myopia. The relative thickness of the arrows serves to roughly indicate the relative contribution of genetic and environmental factors to the genesis of different forms of myopia. The influence of environmental factors on the monogenic form is probably minimal, as indicated by the question mark. The complex form of myopia is produced by the action of many genes (locus 1, locus 2 et cetera) and many environmental factors. Also indicated on the left are the appropriate methods for mapping genes involved in monogenic and complex forms of myopia. Note that the first myopia locus *MYP1* was assigned to the clinical syndrome Bornholm eye disease manifested as amblyopia, myopia and deuteranopia. *MYP1*, *MYP2* et cetera are standard symbols of myopia genes approved by the HUGO Gene Nomenclature Committee. The approximate chromosomal locations (15q, 18p et cetera) are indicated within brackets after the names of the syndromes or myopia genes.

On the other hand, there is evidence that both heredity and environment play a role in the development of common myopia (Figure 1). Common myopia is like many other common diseases that are 'complex' or 'multifactorial' in nature

and result from the effects of both genetic and environmental factors.<sup>17-20</sup> Environmental factors such as excessive near work and form-deprivation may interact with genetic factors to produce abnormal eye growth. By definition, a complex disease/

trait is caused by multiple genes, multiple environmental factors and possibly gene-gene and gene-environment interactions. Therefore, a complex disease/trait tends to run in families but does not show a typical Mendelian inheritance pattern. A single susceptibility gene is neither necessary nor sufficient to cause a complex disease/trait and genetic effects involve probabilistic predisposition rather than predetermined programming. Complex diseases are exemplified by diabetes, hypertension and coronary heart disease, while common examples of complex traits include height, body weight and blood cholesterol concentration. As an analogy with myopia, non-insulin-dependent (type 2) diabetes shows the characteristics of a complex disease. It also has a rare monogenic form showing typical Mendelian inheritance, which is caused by mutations in one of several different genes.

Twin studies have presented strong evidence for genetic inheritance in the control of eye size and refractive errors. Monozygotic twins have much higher concordance of myopia and its related ocular components, such as axial length, anterior chamber depth and corneal curvature, than dizygotic twins.<sup>21-26</sup> Family studies indicate that children are more likely to develop myopia and have longer anterior and vitreous chambers even before becoming myopic, if their parents are myopic.<sup>18,27,28</sup> In general, estimates of heritability indicate that axial length, anterior chamber depth and corneal curvature are predominantly genetically determined.<sup>29-33</sup> This suggests a role for genetic influences in eye shape and development of myopia.

Most myopia is due to excessive eye growth and individuals with an apparently inherited form of the condition exhibit excessive elongation of the eye.<sup>26,34</sup> Thus, axial length and refractive error are similar to height, which is a continuous and complex trait and is determined by both genetic factors and environmental factors (like diet and exercises). Instead of a single gene, multiple genes exhibit effects on the variations in both eyeball size and height.

Heterogeneity and complexity in phenotypic expression make the study of complex diseases much more challenging.<sup>35</sup> Heterogeneity refers to the situation in which a disease is contributed by more than one gene or different genetic variations in the same gene or different genes. The expression of phenotype can be variable in that the same genetic variation can apparently result in different degrees of severity for a disease. In addition, some individuals with a particular genetic variation may not express the phenotype, while others without the genetic variation may somehow express the phenotype because of some other causes. Despite these complexities, many researchers make serious attempts at mapping the genes of myopia in humans by different approaches of genetic analysis. Gene mapping refers to the process of locating or identifying a gene or a group of genes within our genome for subsequent studies. As the study of complex diseases identifies a significant contribution of heredity to their development, it is likely that more genes will be found to influence susceptibility to complex diseases rather than to cause disease directly. Genetic susceptibility refers to the ability of genetic factors to affect the risk of contracting a disease. Exposure to appropriate environmental risk factors may trigger the expression of the genetic effects on a complex disease, alter its manifestation or exacerbate its severity.

Identification of susceptibility genes of myopia will shed light on the pathophysiological mechanism underlying their genesis. Undoubtedly such information is important for the design of preventive and therapeutic measures in the future. One can imagine that, in the not too distant future, it may be possible to use genetic tests to identify genetically susceptible individuals at a very young age even before myopia develops. Such individuals may be advised to modify their lifestyle (such as reading habits) or treated to delay the onset of myopia, slow down the progression or even prevent it from developing. Susceptible individuals with different backgrounds of genetic predisposition may develop myopia on exposure to different environmental triggers and need

different kinds of advice and different treatment modalities. One treatment may be effective for those of one particular genetic background but not those of another. This form of long-awaited personalised medicine can be realised only with the elucidation of the molecular pathways involved in the trigger and development of myopia. In turn, this relies on the identification of myopia genes and the subsequent study of their functions.

The purpose of this article is to elucidate the approaches of genetic epidemiology to identifying the genes involved in myopia. This review is intended to help optometrists in the understanding and interpretation of reports on genetics of myopia and other complex eye diseases. Before going through these approaches, different types of genetic markers that are useful tools in disease gene mapping are first introduced. Such information is essential for understanding the basic concepts of genetic analysis.

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## GENETIC MARKERS

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Deoxyribonucleic acid (DNA) contains the genetic instructions for the biological processes of a cellular form of life and is responsible for the genetic propagation of inherited traits. In humans, these traits range from eye colour to disease susceptibility. Mutation is a heritable alteration of this genetic material. It can result from errors that occur during the replication of DNA before a cell divides and that escape the repair mechanisms of the cell. It can also be induced by substances known as mutagens and can be transmitted to subsequent generations. Mutation is the ultimate source of genetic variations among individuals of the same species and among difference species. If a variation in DNA sequence occurs by chance and reaches a frequency of at least one per cent in a population, it is classically called a polymorphism. Not all polymorphisms have phenotypic effects or direct effects on the genesis of disease. Some may have no effect at all and some may just play a role in whether a person has high or low risk of a particular disease. The term 'polymorphism' is a neutral term and can simply

mean sequence variation. By contrast, particularly in a clinical setting, mutation is used typically in a more restricted and negative sense, implying disease-causing genetic variations.

A locus is a specific physical location in a chromosome of the genome. A gene is a stretch of DNA sequence that instructs a cell to produce a particular product, usually a protein. Alleles are alternative forms (two or more forms) of any defined gene or DNA sequence at a given locus, and usually differ from one another by one or a few bases in their DNA sequences. In humans, all cells except sex cells (sperm cells and eggs) are diploid and hence they each contain two sets of chromosomes, one from each parent. The terms homozygous and heterozygous are used to describe the presence of the same allele and different alleles, respectively, at a locus for a given pair of homologous chromosomes. Genotype is the specific genetic make-up of an individual, in the form of DNA. Together with the environmental factors that influence the individual, it codes for the phenotype (observable trait) of that individual.

A genetic marker is an inherited genetic trait with different possible forms that are readily recognisable and help researchers tell apart different individuals in an experiment. Any DNA sequences existing as two or more distinguishable alternative forms (alleles) can be used as genetic markers. If a disease is inherited in a simple pattern, the inheritance of disease alleles or genetic variants can be followed in pedigrees. Known polymorphisms are useful genetic markers for genetic studies or gene mapping. Rare mutations usually contribute to rare Mendelian genetic diseases. In recent years, many researchers believe that common genetic variations contribute to the genetic risk of developing complex diseases.<sup>36,37</sup> Many studies relate common genetic variations to the clinical phenotypes of complex diseases, drug responses and environmental factors. There is no doubt that the development of a high-resolution map of genetic variations is a very important part of the Human Genome Project (HGP), in which the DNA sequences and the genes of the



Myopia locus <sup>†</sup>	Authors (years)	Inheritance/ QTL <sup>‡</sup>	Location	Ethnicity of subjects	Types of families	Linkage analysis (PL or NPL) <sup>§</sup>	Affected status <sup>¶</sup>	Max LOD <sup>††</sup>
<i>MYP1</i>	Schwartz <i>et al</i> (1990) <sup>41</sup>	XR	Xq28	Danish	Large pedigrees	PL	—	4.80
<i>MYP2</i>	Young <i>et al</i> (1998) <sup>42</sup>	AD	18p11.31	American and Chinese	Moderate to large multigenerational families	PL	≤ -6.00 D SE	9.59
<i>MYP2</i>	Lam <i>et al</i> (2002) <sup>43</sup>	AD	18p11.31	Hong Kong Chinese	Moderate pedigrees	PL	≤ -6.00 D	2.10
<i>MYP3</i>	Young <i>et al</i> (1998) <sup>44</sup>	AD	12q21-23	German/Italian	A large pedigree	PL	≤ -6.00 D SE	3.85
<i>MYP3</i>	Farbrother <i>et al</i> (2004) <sup>45</sup>	AD	12q21-23	UK population	Nuclear families	PL, NPL	≤ -6.00 D in the least negative meridian of both eyes	2.54
<i>MYP4</i>	Naiglin <i>et al</i> (2002) <sup>46</sup>	AD	7q36	French and Algerian	Large to moderate pedigrees	PL, NPL	≤ -6.00 D both eyes	2.81
<i>MYP5</i>	Paluru <i>et al</i> (2003) <sup>47</sup>	AD	17q21-22	English/Canadian	A large pedigree	PL	≤ -6.00 D SE	3.17
<i>MYP6</i>	Stambolian <i>et al</i> (2004) <sup>48</sup>	AD	22q12	American families of Ashkenazi Jewish descent	Large pedigrees	PL, NPL	≤ -1.00 D in each meridian for both eyes	3.54
<i>MYP6</i>	Stambolian <i>et al</i> (2006) <sup>49</sup>	AD	22q12	Additional Jewish descent	Pedigrees	PL, NPL	≤ -1.00 D in each meridian for both eyes	4.73
<i>MYP6</i>	Klein <i>et al</i> (2007) <sup>50</sup>	QTL	22q12	Americans of Northern European and/or German ancestry	Sib-pairs	NPL	Mean +0.44 D SE; range: -12.12 to +8.38 D	<i>P</i> value = 0.000330
<i>MYP7</i>	Hammond <i>et al</i> (2004) <sup>51</sup>	QTL	11p13	UK population	Dizygotic twin pairs	NPL	Mean SE < 0 D	6.10
<i>MYP8</i>	Hammond <i>et al</i> (2004) <sup>51</sup>	QTL	3q26	UK population	Dizygotic twin pairs	NPL	Mean SE < 0 D	3.70
<i>MYP9</i>	Hammond <i>et al</i> (2004) <sup>51</sup>	QTL	4q12	UK population	Dizygotic twin pairs	NPL	Mean SE < 0 D	3.30
<i>MYP10</i>	Hammond <i>et al</i> (2004) <sup>51</sup>	QTL	8p23	UK population	Dizygotic twin pairs	NPL	Mean SE < 0 D	4.10
<i>MYP10</i>	Stambolian <i>et al</i> (2005) <sup>52</sup>	AD	8p23	Old Order Amish	Families with affected sibs	PL, NPL	≤ -1.00 D in each meridian for both eyes	2.03
<i>MYP11</i>	Zhang <i>et al</i> (2005) <sup>53</sup>	AD	4q22-27	Han Chinese in a small village of central China	A large pedigree	PL	Range: -5.00 to -20.00 D	3.11
<i>MYP12</i>	Paluru <i>et al</i> (2005) <sup>54</sup>	AD	2q37.1	US family of northern Europe	A large pedigree	PL	≤ -6.00 D SE; range: -7.25 to -27.00 D	4.75
<i>MYP13</i>	Zhang <i>et al</i> (2006) <sup>55</sup>	XR	Xq23-25	Chinese	A large pedigree	PL	≤ -6.00 D SE; range: -6.00 to -20.00 D	2.75
<i>MYP13</i>	Zhang <i>et al</i> (2007) <sup>56</sup>	XR	Xq23-27.2	Chinese	A large pedigree	PL	≤ -6.00 D SE; range: -7.00 to -16.00 D	2.79
<i>MYP14</i>	Wojciechowski <i>et al</i> (2006) <sup>57</sup>	QTL	1q36	Ashkenazi Jewish	Moderate to large multigenerational families	PL	Mean -3.46 D SE; ≤ -1.00 D in each meridian for both eyes	9.54
<i>MYP15</i>	Klein <i>et al</i> (2007) <sup>50</sup>	QTL	1q41	Americans of Northern European and/or German ancestry	Sib-pairs	NPL	Mean +0.44 D SE; range: -12.12 to +8.38 D	<i>P</i> value = 0.00019
<i>MYP16</i>	Klein <i>et al</i> (2007) <sup>50</sup>	QTL	7p21	Americans of Northern European and/or German ancestry	Sib-pairs	NPL	Mean +0.44 D SE; range: -12.12 to +8.38 D	<i>P</i> value = 0.00023
<i>MYP17</i>	Nallasamy <i>et al</i> (2007) <sup>58</sup>	AD	10q21.2	Hutterite population from South Dakota	A large pedigree	PL	Mean -7.04 D; range -3.75 to -13.25 D	3.22

<sup>†</sup> Myopia loci are shown in standard gene symbols approved by Human Gene Nomenclature Committee but *MYP15*, *MYP16* and *MYP17* are newly identified and the gene symbols are still tentative  
<sup>‡</sup> Inheritance is indicated as X-linked recessive (XR), autosomal dominant (AD). QTL represents quantitative trait locus.  
<sup>§</sup> PL represents parametric linkage analyses whereas NPL represents non-parametric linkage analyses, usually affected sibpair analysis  
<sup>¶</sup> SE represents spherical equivalent in dioptres (D)  
<sup>††</sup> Max. LOD stands for maximum 'logarithm of the odds' score. Only *P* values are given for *MPY6*, *MYP15* and *MYP16* in Klein's study.

**Table 1. Myopia loci mapped by linkage analysis**

Non-coding SNPs may have functional consequences, if they affect the regulation of gene transcription, mRNA splicing or mRNA stability. Promoters and regulatory elements affect gene transcription and are usually located at 5'UTR and the upstream region of a gene. Some regulatory elements are found at 3'UTR or in introns where they may be close to the splice sites.<sup>62</sup> Therefore, efforts to identify functional SNPs should include the proximal and distal regulatory sequences in the 5' and the 3' ends of the gene.

SNPs are present in healthy normal individuals, who can be recruited easily for any study. SNP screening within candidate genes (small-scale) or throughout the whole genome (large-scale) can be conducted before the collection of patient samples is completed. Thus, SNPs have become the most popular genetic tools for gene mapping of complex diseases. The NCBI maintains the dbSNP database as the major public repository for SNP information, although many other SNP databases (with or without charges) are also available in both public and private sectors. More than five million SNPs with minor allele frequency greater than 10 per cent are expected to exist in the human genome.<sup>63</sup> In fact, about four million SNPs across the human genome in four different ethnic populations (Nigerian, American, Japanese and Chinese) have now been genotyped in the International Haplotype Map (HapMap) Project (see below). SNP allele frequencies and their linkage disequilibrium (LD; see below) patterns can be easily accessed in the HapMap database.

### Comparison of microsatellites and SNPs

Microsatellites are more informative when compared with SNP markers. It is because, on average, many microsatellites are heterozygous in about 70 per cent of human samples tested.<sup>64</sup> They are often located between genes rather than within genes. Classically, genetic studies of linkage analysis use microsatellites to locate a disease gene to a coarse chromosomal region. SNPs within and flanking genes in the candidate region can then be used as genetic markers for further studies. Although a

single SNP marker is less informative than a microsatellite marker, analysis of multiple SNPs, and hence haplotypes, enriches the information content and improves the efficiency for gene mapping. Haplotype is the combination of alleles of two or more loci closely linked on the same chromosome. SNPs are also more stable, especially for those that are not involved in amino acid change because they are less likely to be subjected to natural selection and thus, do not change much from generation to generation.<sup>65</sup> This makes them easier to follow in population studies.

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### PHENOTYPE DEFINITION

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A phenotype refers to a specific feature of an individual that can be observed, categorised or measured by some means. From the perspective of gene mapping, phenotypes can be classified into two broad types: qualitative (or discontinuous) and quantitative (or continuous) traits. A qualitative trait is one with two or more distinct categories and each category can be easily separated from all other categories (for example, the ABO blood group of an individual). In most medical conditions, 'diseased' traits are recognised by their state of being significant deviations from the norm. Signs and symptoms indicate whether a disease state is present or absent. On the other hand, a quantitative trait exhibits a wide range of possible 'categories' that show a continuous spectrum of variation (for example, body weight and blood pressure). It does not follow typical Mendelian inheritance. Very likely, it is controlled by many genes with small effects that are influenced by the environment and their interaction with other loci.

Myopia is a refractive error that is measured in a continuous scale and in the unit of dioptre (D). The refractive error of an eye is the result of the total refractive power of ocular components, which include axial length, anterior chamber depth, corneal power and lens thickness, which are also continuous traits. Traits with well-defined Mendelian patterns of phenotypic expression are optimal for linkage analysis (Figure 3). Therefore,

continuous traits are usually converted into discontinuous or dichotomous traits by defining a 'threshold' for the disease of interest for genetic studies. Different definitions of myopia have been adopted in different clinical and genetic studies and this leads to difficulties in comparing the results among studies. The most common definition of myopia is a refractive error with equivalent spherical power less than -0.50 D. In most studies of linkage analysis, low myopia is defined as a refractive error between -0.50 D and -6.00 D, whereas high myopia refers to a refractive error equal to or over -6.00 D. A few genetic studies used a more minus refractive power as the cut-off threshold for high myopia to increase the statistical power to detect modest and small gene effects.<sup>41,66,67</sup>

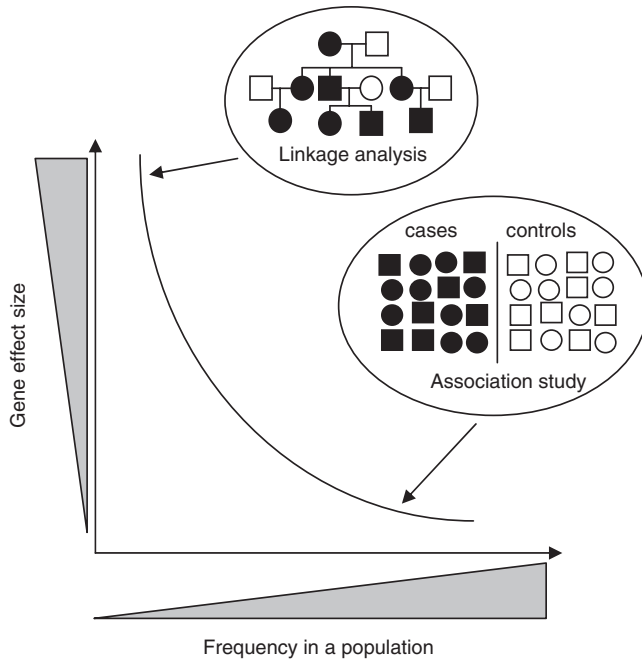
Most myopic eyes have a longer axial length.<sup>26,34,67</sup> While heredity has a strong impact on axial length, the role of heredity on anterior chamber depth and corneal power is still controversial<sup>26,29-33,70</sup> but profiles of these ocular components vary among myopes. Strict inclusion criteria and careful phenotyping of myopic subjects are important for the success of genetic studies of myopia. Axial length can be taken into account in myopic subject recruitment to reduce the complexity of the genetic factors potentially involved. As large amounts of astigmatism will have an effect on the final equivalent sphere, myopic subjects with high astigmatism (-2.00 D or worse) is suggested but there is no consensus<sup>68,69</sup> should be excluded. This is because the genetic and environmental influences of astigmatism may be different from those of myopia. To illustrate this potential classification error, consider an eye with -4.00 D of spherical error and -4.00 D of astigmatism. The final equivalent sphere will be -6.00 D, qualifying it to be classified as an eye with high myopia.

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### APPROACHES TO FINDING GENES INVOLVED IN MYOPIA

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Although there are two major classical approaches to finding genes involved in human traits and diseases, one of these approaches known as *genetic mapping* is



**Figure 3. Gene mapping strategies for diseases different in population frequency and in gene effect size. Genes involved in rare Mendelian diseases show large effects and are best mapped by parametric linkage analysis of large families. Genes involved in common complex diseases exhibit small effects and are best identified by genetic association studies like case-controlled studies and family-based association studies. Non-parametric linkage analysis (not shown) falls between these two approaches.**

more appropriate for identifying genes for myopia. This genetics-based approach relies only on the behaviour of genes during transmission from generation to generation in a family. It can be applied even though the underlying molecular mechanism of the disease of interest—myopia—is not known. In fact, the only requirement for applying this approach is that the disease or trait can be clearly defined. Indeed, myopia can be defined objectively and unambiguously by the amount of refractive error.

With genetic mapping, there are two strategies: linkage analysis and association study (Figure 3). For each strategy, there are different methods that deal with different scenarios and issues. Linkage analysis can be parametric or non-parametric.

Parametric linkage analysis requires the assumption of a correct genetic model, while non-parametric linkage analysis does not assume any genetic model. On the other hand, association studies can be population-based or family-based. Population-based association studies work on unrelated individuals with or without the disease of interest, while family-based association studies work on affected children from small nuclear families.

#### LINKAGE ANALYSIS

##### Parametric linkage analysis

If a disease gene and a genetic marker locus are located on different chromosomes, the alleles of the disease gene are

transmitted to the next generation independently of the alleles of the marker locus. In other words, these two sets of alleles are free to form new combinations during transmission to the next generation—a situation as dictated by Mendel's second law (the principle of independent assortment). If a disease gene and a genetic marker locus are located far apart on the same chromosome, the chance of exchanging genetic material and hence the alleles (that is, recombination) between these two loci is very likely to occur as a result of crossing-over between a pair of homologous chromosomes during meiosis. In other words, the transmission of the alleles of these two loci still obeys Mendel's second law. Thus, the transmission of alleles at each locus from generation to generation is random and independent of the other locus under these two conditions. These loci are said to be unlinked. In this case, the ratios of alleles of the two loci observed in each generation will be close to those predicted by the Mendelian principle of independent assortment and the rate of recombination is 50 per cent.

If the marker locus is close to the disease gene on the same chromosome, they are more likely to segregate together (without crossing-over) rather than independently during meiosis. Linkage between these two loci occurs and the recombination rate is less than 50 per cent.<sup>71</sup> In other words, the recombination rate becomes smaller when two loci are much closer to each other. By taking advantage of these properties of meiosis, measurement of genetic linkage can take place in family studies for disease gene mapping.

Linkage analysis is a method for determining if there is significant evidence for co-segregation of alleles at a marker locus and alleles at a hypothetical disease locus. It involves explaining the inheritance patterns of genotypes observed in a pedigree affected by a disease. This analysis is useful only when the disease model is correctly specified and usually is applied to simple Mendelian diseases or traits, which show strong effects of the mutations involved. Thus, this method is called model-based

(or parametric) linkage analysis. If a disease is caused by a dominant mutation (M) at gene G on a chromosome, all affected members in a pedigree should inherit this chromosomal region around the mutant allele (Figure 4). Assume that two genetic markers are close to each other (linked) on the same chromosome. When the disease mutation is located between these two linked genetic markers, affected members in the pedigree are expected to inherit two particular alleles of these two markers (A and b) together with the mutant allele (M) of the disease gene from an affected parent. The known position of the linked markers indicates the approximate chromosomal location of the disease-causing gene.

The linkage of markers to disease mutation is usually quantified by the 'logarithm of the odds' (LOD) score, which provides a statistical evaluation of the cosegregation of the marker and the mutation. Under a specific mode of inheritance (for example, autosomal dominant), the likelihood of getting specific marker alleles and a disease (that is, the disease mutation) in a large family under two hypotheses are compared. The hypothesis that the marker is linked to the disease locus at a particular recombination rate ( $\theta$ ) is compared to the null hypothesis that there is no linkage between the marker and the disease locus (that is,  $\theta = 0.5$ ). Therefore, a likelihood ratio can be calculated as the ratio of the likelihood of the data, if the loci are linked at a particular  $\theta$  to the likelihood of the data, if the loci are unlinked ( $\theta = 0.5$ ). The  $\log_{10}$  of this ratio is the LOD score. The LOD score serves to indicate whether there is linkage at a particular value of  $\theta$  between the marker and the disease locus. A LOD score of 3 (an odds ratio of 1000:1) is traditionally agreed as evidence of linkage.<sup>35</sup>

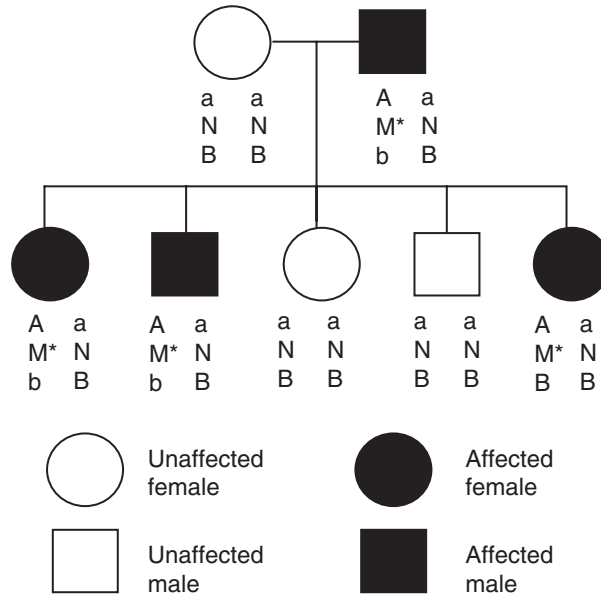
The LOD score approach has the advantage that linkage studies can be compared and analysed together. It is because LOD scores can be simply added together (that is, odds ratios multiplied together) when the linkage for different families with the same genetic markers is investigated. For example, if the LOD scores of families A

and B are 1.5 and 2.5, respectively, at  $\theta = 0.01$ , neither shows convincing evidence of linkage on its own. If two LOD scores are added together, the data become significant with a LOD score of 4.0 but the same diagnostic criteria and genetic model must be used for both families. Moreover, a large pedigree with several generations is more informative than several small families each with fewer generations and hence more powerful to detect linkage.

To increase the power of detecting linkage, several marker loci can be simulta-

neously tested and analysed for linkage with the disease: 'multipoint linkage analysis'. The combination of alleles of these genetic markers that inherit together in a family with the disease is called the linked haplotype. For example, the disease mutation (allele M) and the markers (alleles A and b) are inherited together on the same chromosome, as a haplotype unit (A-M-b) in two affected offspring (Figure 4). The recombinant haplotype A-M-B is transmitted to one affected offspring (Figure 4).

In past decades, many eye disease or eye-related loci were successfully mapped



**Figure 4. Linkage and recombination.** Allele M is the disease allele caused by a mutation in the gene G, whereas allele N is the normal allele at the same locus. Note that allele M is marked by an asterisk for easy recognition. The affected father (black square in the first generation) carries the disease allele M on a chromosome together with marker alleles A and b. Two affected offspring (shown on the left in the second generation) also inherit the alleles A and b from the father because these two alleles are linked to the disease-causing allele M on the same chromosome. The youngest affected daughter (shown on the right) inherits the marker allele B (instead of allele b) from the father as a result of recombination between the disease locus (M/N) and the marker locus (B/b) during meiosis. The disease locus is linked to these two marker loci and is closer to marker locus A/a than to marker locus B/b.



	Parametric linkage analysis	Non-parametric linkage analysis
Family samples	Large pedigrees with many diseased subjects	At least two affected members for each family Best when parents available
Mode of inheritance	Assumption of mode of inheritance (AD, AR and X-linked) <sup>†</sup>	Model-free
Statistical power	High for Mendelian diseases Low for complex diseases	Moderate for Mendelian diseases and large genetic effects of complex diseases
Advantages	Highest power for Mendelian diseases More efficient using genome scan approach	Model-independent High power to detect moderate and large genetic effects of complex diseases Genome scan possible
Disadvantages	Need to ascertain pedigrees that are difficult to find Specific genetic model required Limited by heterogeneity if present	Problems of genotyping errors, non-paternity and sample mix-up detectable only when parents and/or siblings available Not sensitive to detect small genetic effects

<sup>†</sup> AD stands for autosomal dominant and AR autosomal recessive

**Table 2. Comparison between parametric and non-parametric linkage analyses**

by the study of large pedigrees with linkage analysis (Figure 3). These eye diseases were usually monogenic, polygenic or syndromic (syndromes associated with ocular manifestations), such as Stickler syndrome<sup>72</sup> and Marfan syndrome.<sup>73</sup> They follow typical modes of inheritance: autosomal dominant (AD), autosomal recessive or X-linked. On the other hand, gene mapping for complex eye disorders including myopia is still in its infancy. Linkage analysis is usually used as the first step in the study of complex eye diseases, as there is very little knowledge of the disease mechanism. For large-scale studies, a whole genome scan is carried out with several hundred (400 to 800) microsatellites spaced evenly throughout the genome. This means a systematic search for genetic effects at different locations along all human chromosomes but it is very expensive and time-consuming.

Table 1 summarises the myopia loci mapped to date. Updated information can be accessed easily at Online Mendelian Inheritance in Man (OMIM). The first myopia locus *MYPI* was mapped to Xq28 in 1990 and was responsible for X-linked high myopia (pathological myopia) associated with amblyopia and deuteranopia.<sup>41</sup> More loci for high myopia

were found subsequently, using linkage analysis with the assumption of AD models in most cases. These non-syndromic high myopia loci were located at chromosome 18p11,<sup>42,43</sup> 12q21-23,<sup>44,45</sup> 7q36,<sup>46</sup> 17q21-22,<sup>47</sup> 4q22-27,<sup>53</sup> 2q37,<sup>54</sup> Xq23-25<sup>55,56</sup> and 10q21.2.<sup>58</sup> The symbols 'p' and 'q' represent the short and the long arms of a chromosome, respectively, and the numbers before and after 'p' or 'q' indicate the chromosome number and the sub-region in the corresponding arm respectively. The loci for low myopia were mapped at chromosome 22q12 and 1q36 by Stambolian's group and Wojciechowski's group, respectively.<sup>48,49,57</sup> Different myopia loci mapped for different ethnic groups support the presence of genetic heterogeneity.

Complex eye disorders clearly do not follow Mendelian inheritance patterns. Standard linkage analysis has limited power in detecting such small genetic effects in these disorders. If a wrong model is specified, it is likely to miss the true linkage. Moreover, it is usually very difficult to recruit large pedigrees. Mapping genes for complex eye disorders was amenable to 'non-parametric' linkage analysis and association study. Both parametric and non-parametric analyses were

adopted for the same set of family samples in a few studies on myopia to increase the chances of identifying the myopia genes.<sup>45,46,48,49,52</sup>

### Non-parametric linkage analysis: allele-sharing methods

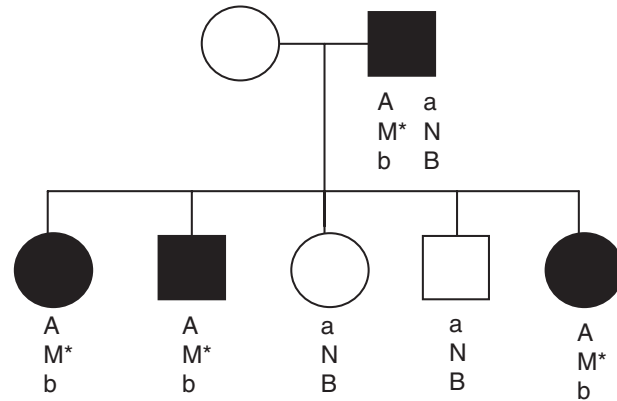
The allele-sharing method, also known as affected pedigree member method, is an alternative to the parametric linkage analysis for identifying disease locus and has some advantages over classical linkage analysis (Table 2). First, no assumption about the mode of inheritance is needed. Second, small nuclear families instead of large pedigrees are used and are easier to recruit. Third, the fraction of a genetically heterogeneous phenotype that is determined by a given locus can be estimated. This method is more robust than parametric linkage analysis but less powerful than the linkage analysis with a correctly specified mode of inheritance. The allele-sharing method is still very useful when the disease models are unclear, especially for complex diseases.

The allele-sharing method aims at determining if affected relatives in a pedigree inherit specific copies of a chromosomal region more often than expected by chance of random segregation.

tion (Figure 5).<sup>35</sup> In other words, it studies how often a particular allele at a marker locus is shared identical-by-descent (IBD) within the pedigree. IBD means the inheritance of the same allele from a common ancestor. If there is a disease-causing mutation in a specific chromosomal region in a high proportion of families, two affected individuals from the same family will share an allele of the marker locus more often than expected by chance (50 per cent for sibling pairs).

Affected sibpair (ASP) analysis is the simplest type of allele-sharing method and is commonly used for gene mapping of complex eye diseases or traits. ASP analysis ignores the affection status of the sibs' parents and requires affected sibling pairs. Thus, this approach is very useful if parental genotype information is missing. In ASP analysis, the mean proportion of marker alleles shared by two affected siblings in a nuclear family is compared with a 50 per cent shared proportion expected by random Mendelian segregation. Under random segregation, the expected distribution of sharing of 0, 1 or 2 alleles IBD is 25, 50 and 25 per cent, respectively, between the sibling pairs of a nuclear family. If enough sibling pairs from many nuclear families are compared, excess sharing of alleles IBD is measured by simple chi-square ( $\chi^2$ ) test. Significant  $\chi^2$  indicates large deviation from the expected distribution and implies the linkage between the disease and the marker.<sup>35</sup> ASP analysis maps genes to a coarse chromosomal region and is not very powerful in detecting small genetic effects of complex diseases. Further investigation of positive loci should be followed by the alternative genetic approach: association studies.

As a huge number of genetic markers and advanced genotyping technologies is now available, allele sharing methods combined with the whole-genome scan approach have been used frequently to identify coarse chromosomal regions of many multifactorial eye diseases. Hammond and colleagues<sup>51</sup> mapped new myopia loci to chromosomes 11p13, 3q26, 4q12 and 8p23 by using ASP with twin pairs (Table 1). The locus on chromo-



**Figure 5. Allele sharing method.** The disease locus has a disease allele M and a normal allele N. Note that allele M is marked by an asterisk for easy recognition. The disease locus is flanked by two linked marker loci carrying alleles A/a and B/b. The mutation M and also the haplotype A-M-b transmits to the three affected offspring from the affected father (the ancestor), and thus are shared identical-by-descent (IBD) between the affected offspring. Similarly, the normal N and hence the haplotype a-N-B are shared IBD between the two unaffected offspring. Note that only one of the two haplotypes is shown for each offspring. The meanings of the symbols are as shown in Figure 4.

some 8p23 was confirmed later in the Old Order Amish by Stambolian's group using the ASP method.<sup>52</sup> Recently, using sibpair analysis, Klein and associates<sup>50</sup> also identified novel regions of suggestive linkage to ocular refraction on 1q41 and 7q21 (Table 1). The same group also confirmed the *MYP6* locus at 22q12 previously mapped by Stambolian's group.<sup>48-50</sup> Nowadays, many software packages for ASP analysis are available, such as MAPMAKER/SIBS within GENEHUNTER. Many on-line resources provide the software for genetic linkage analysis, such as the Laboratory of Statistical Genetics at Rockefeller University.

#### ASSOCIATION STUDY

Association studies represent an alternative approach to identifying susceptibility genes for complex diseases. It is more powerful than linkage analysis in detecting genes with small effects in complex

diseases (Figure 3).<sup>36</sup> This method is based on measuring linkage disequilibrium (LD) or allelic association between a marker and a causative genetic variation. When the marker and the causative genetic variation for a disease are physically close together on the same chromosome with tight linkage, they are likely to be inherited together as a particular combination of alleles from generation to generation in a population. This situation is known as LD and the set of associated SNP alleles in a region of the same chromosome is called a haplotype. Allelic association refers to a significantly increased or decreased frequency of a marker allele in a disease and represents deviations from the random occurrence of the alleles with respect to disease phenotype. Allelic association can be due to LD maintained by tight linkage. The HapMap Project of the human genome provides a tremendous amount of information on the patterns of variation in the genome of four different

ethnic populations.<sup>74</sup> It includes the chromosomal regions with sets of strongly associated SNPs, the haplotypes in those regions and the SNPs (called tag SNPs) that are representative of those haplotypes. Thus, one of the applications of the HapMap database is to provide tag SNPs that act as representatives for many other surrounding sequence variations. The researchers can genotype a much smaller number of tag SNPs to determine the collection of haplotypes present in each subject. This can help in the quest of complex disease genes by reducing the number of SNPs to be tested in association studies.

### Population-based association study

Population-based association study (or case-controlled study) is the most widely applied strategy of association studies for complex diseases/traits. It compares a particular genetic marker or a set of markers between a group of affected or diseased individuals (cases) and a group of unaffected or normal individuals (controls) within a population. Both case and control groups should be unrelated to each other (that is, without any blood relation with each other). A greater percentage of a marker allele (or genotype) in affected individuals is considered as evidence of association between the disease phenotype and the marker allele (or genotype). The chi-square ( $\chi^2$ ) test is used for measuring the statistical significance of such differences between the allele (or genotype) frequency distributions in cases and controls.

In case-controlled association studies, unrelated individual samples rather than family samples are required. Subject recruitment for this method is easier than for linkage analysis or family-based association studies (see next section). Case-controlled association study becomes a better approach for gene mapping, if the eye diseases are late-onset or parents of the cases are not available for study, such as age-related macular degeneration and adult-onset glaucoma. A few myopia susceptibility genes, including transforming growth factor  $\beta$ -induced factor (*TGIF*),<sup>75</sup> transforming growth factor beta 1

(*TGFBI*)<sup>76</sup> and lumican (*LUM*)<sup>77</sup> have been identified by this approach in Chinese populations.

Positive association can arise in three situations.<sup>35</sup> First, it is due to the direct effect of a genetic variant on the risk of suffering from an eye disorder. In this case, the same positive association is expected in all populations tested. Second, positive association can occur if the marker SNP being tested is in LD with the causative genetic variant (that is, a certain allele of the marker SNP tends to occur on the same chromosome that carries the causative genetic variant). Different alleles of the marker may be associated with the disease in different populations because the pattern of LD among different SNPs can vary according to the history of the populations under study.

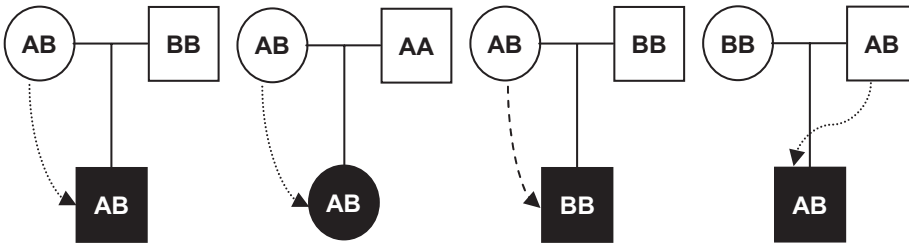
The third scenario is worrying because positive association can be falsely obtained if the population under study is not homogeneous. In other words, this false positive result is an artifact of population admixture, which refers to a population with multiple subgroups that have different allele frequencies. This can be due to either recent admixture of different populations or to inappropriate matching of patients and controls.<sup>35</sup> For illustration, consider a population that is a mix of two subgroups. Assume that subgroup 2 has a higher prevalence of a disease under study, a higher allele frequency of a SNP than subgroup 1 and subjects with the disease (that is, cases) are preferentially recruited from subgroup 2 in an unknowingly biased manner. This will give a higher allele frequency of the SNP in the cases than in the controls and, in turn, produce a spurious association between the SNP and the disease. Even if no differences in disease prevalence between different subgroups are present, spurious association may still arise if the probability of cases or controls being selected into the study is not independent of the original population subgroups (selection bias). The extent to which population admixture or stratification creates problems for association studies has been extensively discussed.<sup>78-81</sup> That many genetic association studies cannot be replicated may be

due to this problem. Therefore, carefully matched cases and controls to avoid population stratification are essential for a high-quality association study.

### Family-based association study

As discussed above, failure to match cases and controls in population-based association studies can lead to spurious association due to population stratification. This problem can be overcome if the family-based association study approach is adopted. Instead of unrelated individuals, nuclear families have to be used and each family consists of affected offspring and their parents. These family-based studies are based on the idea that non-transmitted alleles from the parents of the affected offspring act as internal controls, and transmitted alleles are the cases (Figure 6). Therefore, parents can provide both the cases and the controls, and the issue of ethnic mismatches can be avoided. The price to pay for this advantage is that the amount of genotyping work is increased by 50 per cent when compared with case-controlled association studies. Recent research also suggested that involvement of unaffected siblings would increase the power of studies of mapping loci for quantitative traits.<sup>82-84</sup>

The transmission disequilibrium test (TDT) is the most widely used version of family-based association tests. In essence, it tests for distortion (or disequilibrium) in the transmission of alleles from a heterozygous parent to an affected child by comparing the frequency of the allele transmitted to the affected child to the frequency of the allele not transmitted.<sup>85</sup> As an illustration, consider four heterozygous (AB) parents (Figure 6). If there is no association between the marker and the disease, alleles A and B are likely to be transmitted equally to the affected children. If allele A increases the risk of contracting the disease, it will be preferentially transmitted (three times out of four) to the affected children. In TDT analysis, McNemar  $\chi^2$  test is used to test the null hypothesis that each marker allele is transmitted from heterozygous parents to an affected child with a probability of 50 per cent expected by random Mendelian seg-



**Figure 6. Transmission disequilibrium test (TDT).** Four trio families are shown, each with an affected child. Each family has a heterozygous parent with genotype AB, who can transmit either allele A or B to the affected child. In three families out of four, allele A is transmitted to affected children from their heterozygous parents and allele B can act as an internal control. Apparently, allele A is transmitted to affected children more frequently than expected. In one family (second from the right), allele B is transmitted to the affected child from the heterozygous parent and allele A can act as an internal control. Homozygous parents (AA or BB) are not informative. For TDT study, heterozygous parents are needed and the frequencies of transmitted and non-transmitted alleles to affected offspring are compared. The McNemar chi-square test is used to test the statistical significance of the observed difference. If there are  $m$  affected children receiving allele A from their heterozygous parents and  $n$  affected children receiving allele B from their heterozygous parents, then the McNemar chi-square statistic is simply  $(m - n)^2 / (m + n)$  with one degree of freedom.

regation. The TDT can also be extended to families with more than one affected child (myopic offspring) because, under the null hypothesis of no linkage/association, every child in a family has an independent 50 per cent probability of inheriting each of the two alleles from a heterozygous parent.<sup>86</sup> Recently, the TDT approach has been used successfully to identify two myopia susceptibility genes in Chinese populations: the hepatocyte growth factor (*HGF*) and the myocilin (*MYOC*) genes.<sup>67,87</sup>

The TDT is less useful when the disease is due to the contribution of rare alleles. It is because of the small proportion of heterozygotes carrying the rare alleles within the population. Another disadvantage is that it is much more difficult to recruit families than unrelated individuals. The comparison of case-controlled association tests and family-based association tests is summarised in Table 3.

#### SCALE OF GENE MAPPING EFFORTS

No matter which of the above-mentioned genetic approaches is used, the scale of

gene mapping efforts can vary tremendously. It can range from systematically investigating a large number of genetic markers throughout the human genome (genome-wide scan) to strategically testing only a few markers within a small candidate region (candidate-gene approach). Obviously, the time, cost and labour involved vary accordingly.

#### Genome-wide approaches

One of the common approaches to mapping genes implicated in complex diseases is genetic linkage analysis using a whole genome scan.<sup>35</sup> It entails a systematic search in large families for genetic effects at different locations along all human chromosomes even though the mechanisms underlying a disease are not known. Although the time and cost of this approach are really tremendous, this approach is useful for mapping a gene of interest to a large region of chromosomal segment extending about a few thousand kb in length. Genome-wide linkage scans usually employ several hundred microsatellite markers (in the range of 400 to 800) spaced at regular intervals in the human

genome. Many myopia loci were identified using this approach in the recent decade (Table 1).<sup>42,44,46-58</sup> As there is an explosion of high-throughput and advanced SNP genotyping technologies,<sup>61,88-90</sup> efficient genome-wide linkage scans can now be achieved easily with 5,000 to 10,000 SNPs within a relatively short period.

High-throughput genotyping platforms have also recently made genome-wide association studies a reality.<sup>91-94</sup> We need to do association tests on all alleles for an extremely large number of markers (in the range of 0.1 to 1.0 million), an enterprise resulting in over one million data points for just a single individual. Correction for many different statistical comparisons is needed. It can be imagined that an astronomical number of samples is required to detect associations that are statistically significant even for moderate or small gene effects.

#### Candidate-gene approaches

According to the estimation of the Human Genome Project, there are about 30,000 genes in the human genome. It is very challenging, perhaps impossible for small-scale research studies, to test all the genes with association methods. Therefore, a candidate-gene approach instead of a genome-wide approach can be used for identifying susceptibility genes of complex eye diseases. This is also widely applied to other complex diseases such as diabetes and hypertension. This means that some candidate genes are first selected and DNA sequence variations (as genetic markers) within and flanking the candidate genes are then characterised for subsequent use in association studies.

Candidate genes are usually selected on the basis of their biology and function.<sup>95</sup> For studies of myopia, the genes are expected to be expressed in the eye. We can also choose some candidate genes that are expressed in the specific ocular tissue related to the process of myopic development. Examples include genes encoding the components of scleral tissues or enzymes related to scleral thinning or remodelling in myopic eyes;<sup>96,97</sup> genes underlying genetic ocular or systemic diseases usually with high myopia as one of

	Case-controlled study	Family-based association study
Types of samples	Unrelated affected (cases) and unaffected (controls) individuals	Small nuclear families including patients and their parents TDT requires heterozygous parents <sup>†</sup>
Statistical power	Low for Mendelian diseases High for detecting small genetic effects in complex diseases	Low for Mendelian diseases Lower than case-controlled study for alleles with small genetic effects on the basis of genotyping work
Advantages	Provides information about the effects of genotype (homozygous and heterozygous) on the phenotype or severity of the diseases Easy for sample collection and statistical analysis	Presence of internal control to avoid the problem of population stratification Reducing genotyping errors of affected offspring by tracing genotypes of their parents
Disadvantages	False positive association due to ethnically mismatched cases and controls (population stratification)	Need to ascertain large numbers of nuclear families; recruitment more difficult than case-controlled studies, especially for late-onset diseases

<sup>†</sup> TDT stands for transmission disequilibrium test

**Table 3. Comparison between case-controlled study and family-based association study**

the clinical features<sup>95</sup> (for example, Stickler syndrome,<sup>72</sup> Marfan syndrome<sup>73</sup> and juvenile-onset open angle glaucoma<sup>98</sup>) and genes encoding enzymes, binding proteins or receptors involved in the metabolism of retinoic acid, which is an important signalling molecule in the eye and a potential mediator between refractive error and compensatory eye growth.<sup>99–101</sup>

Little is known about the complete functional spectrum in the process of myopia development. A more practical approach is to start with genome-wide linkage scans (parametric and/or non-parametric) for families in which the disease of interest is segregating. Genes that are expressed in the eye and are located within or close to the chromosomal regions showing positive linkage to high myopia can be selected and then investigated by association studies.

Markers and candidate genes in the *MYP2*, *MYP3* and *MYP5* loci have been followed by association studies subsequent to genome-wide linkage scans.<sup>75,77,102–105</sup> The results are contradictory in some instances; an example is the putative association between the *TGIF* gene (a *MYP2* candidate gene) and high myopia.<sup>75,102</sup> In addition to association studies, other types of studies can be carried out to explore

candidate chromosomal regions previously identified by genome-wide linkage analysis. Examples of such studies include additional linkage analysis using different families for candidate regions of *MYP2*, *MYP3* and *MYP5* loci,<sup>43,45,106,107</sup> DNA sequence analysis of candidate genes in *MYP2* and *MYP3* regions<sup>108–113</sup> and gene expression studies of *MYP2* candidate genes.<sup>108–110</sup> Overall, these studies provide additional evidence for zooming in a more refined candidate region,<sup>103</sup> supporting/confirming previous findings,<sup>43,45,104,113</sup> questioning/refuting previous findings,<sup>45,106,107</sup> supporting putative candidate genes<sup>75,77</sup> or excluding putative candidate genes.<sup>111,105,108–110,112</sup>

### DNA pooling

Candidate-gene approach tends to be piecemeal and less efficient, while genome-wide association studies are very expensive in terms of instrumentation and reagent usage. One alternative efficient strategy is to perform initial genotyping and analysis not on individual DNA samples but on DNA pools constructed by mixing DNA from many individuals.<sup>114</sup> The advantage of working on DNA pools is obvious. In the simplest situation, the relative allele frequencies of SNPs in a set of, say, 400 cases and 400 controls can be

estimated in two DNA pools rather than 800 individual samples. This represents a 400-fold increase in efficiency. It acts as an initial screening process and can be used to screen a large number of SNPs from many candidate genes within a relatively short time at an affordable cost. If significant differences are found in the relative allele frequencies between the case pool and the control pool, sample-by-sample genotyping can follow to confirm the initial positive findings. These might result in higher rates of false positive or false negative results because of the inherent measurement errors in the estimation of relative allele frequencies in DNA pools.

There are many studies reporting the statistical analysis of data derived from such approaches.<sup>115–117</sup> Many new modified designs and new statistics, such as for family-based association tests<sup>116</sup> and haplotype estimation,<sup>118,119</sup> have been developed to improve the accuracy and reproducibility of DNA pooling methods. One very exciting development is to use DNA pools in initial genome-wide association scans based on microsatellite or SNP markers; this is very efficient and cost-effective.<sup>120–123</sup> Therefore, DNA pooling is potentially one of the efficient tools for large-scale association studies of complex traits including myopia.

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**ISSUES FOR CONSIDERATION IN STUDIES OF MYOPIA GENETICS**


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**Animal models: mapping quantitative trait loci**

Human genetic studies are inherently very difficult to control in terms of genotype and environmental exposure. Genetic studies on animals by manipulative interbreeding are much easier in planning and execution. The sample size problem can be overcome and replication of studies is also much easier. Many on-line resources of different animal genomes, such as rat and mouse genome resources, are parts of the NCBI databases and are free for the public use. These genome resource centres not only combine the data from different genome sequence projects but also provide a lot of information about the SNP markers and genetic variations within the mouse and the rat genomes and many useful hyperlinked websites. Therefore, gene mapping of complex (quantitative) eye traits in animal models can provide invaluable information on the mechanisms of myopia development. Two loci (*Eye1* and *Eye2*) controlling eyeball size and weight of the mouse were successfully mapped by linkage analysis.<sup>124</sup> Sequence similarity (or homology) between different parts of the genomes of humans, the mouse and the rat is well established. Genes in these candidate regions and expressed in eyes or related to eye growth can be selected as myopia candidate genes for genetic analysis. Recently, the human homologous counterparts of the *Eye1* and *Eye2* candidate genes were found to be associated with high myopia by association studies: the *HGF* gene at chromosome 7q21.1 as human homologue of *Eye1* and the *TGFBI* gene at chromosome 19q13.1 as human homologue of *Eye2*.<sup>67,76</sup> Thus, animal models of myopia can provide important information for mapping myopia genes in humans.

**Statistical power**

Association studies are more powerful than linkage analysis in detecting genes with small effects, a common situation in complex diseases or traits.<sup>36</sup> False positive

association results can be obtained because of confounding by population stratification or as chance findings resulting from failure to handle problems due to multiple comparisons.<sup>125</sup> Therefore, it is very important to replicate positive association results with independent sets of samples, from either unrelated individuals or preferably nuclear families, from the same ethnic groups and from different ethnic groups. Positive results in association tests very often cannot be replicated.<sup>125</sup> One of the possible causes of failure to replicate positive findings in subsequent studies may be the low statistical power to detect moderate and small gene effects.<sup>114</sup> Statistical power and hence sample size are crucial determinants of quality in genetic association studies. In general, statistical power increases with larger sample size.

By definition, the power of a statistical test is defined as the probability that the test will correctly reject the null hypothesis when it is false. In other words, it refers to the ability of a genetic association study to demonstrate an association between a genetic marker and the disease under study if one exists. In addition to the threshold level of significance required (classically set at 0.05), the power of an association study depends on the following factors: the effect size of the functional genetic variation of the disease gene (or simply disease allele), the frequency of the disease allele (usually unknown at the start of a study), the frequency of the marker allele (to be tested in a study) and the LD between the disease allele and the marker allele.<sup>126,127</sup> For a given sample size, the power of an association study is higher if:

1. the effect size of the disease allele is higher
2. the difference between the disease allele frequency and the marker allele frequency is smaller
3. the disease allele and the marker allele are on the same chromosome (instead of on different homologous chromosomes) and/or
4. the LD between the disease allele and the marker allele is higher.

On the contrary, for a given magnitude of power, the sample size required for an

association study is smaller under similar conditions.

Typically, the effect size of the disease allele is small for genes involved in complex diseases. The size of the genetic effect is usually indicated by the odds ratio (OR) for case-controlled association studies and the genotype relative risk (GRR) for family-based association studies. OR provides a valid estimate of the relative risk in case-controlled studies. It is the ratio of the odds of exposure to the susceptible genetic variant (allele or genotype) among the cases (disease group) to that among the controls.<sup>35,126</sup> GRR describes the increased chance of having the disease for an individual with one genotype (predisposing genotype) over the risk if he or she carries the other allele.<sup>82</sup> An effect size (OR or GRR) greater than 1 indicates an increased risk, while an effect size between 0 and 1 implies a protective effect.

An illustrative example showing the relationship between genetic effect size and sample size is the study of the association between angiotension-converting enzyme insertion/deletion polymorphisms and myocardial infarction. Initial studies had small to moderate sample sizes and gave ORs ranging from less than 1 to about 3.<sup>128</sup> The largest single study to date gave a very small OR of 1.10.<sup>129</sup> Note that such a small effect size was found statistically significant only with a very large sample size: nearly 5,000 cases and 6,000 controls. Attempts to replicate positive findings should estimate the effect size and the required corresponding sample size for following studies to achieve the statistical power for detecting an association. The same principle of sample size requirement applies to both OR for case-controlled studies and GRR for family-based association studies. In reality, it is very challenging to recruit a large sample size for high myopia, especially nuclear families, with stringent refractive criteria. Collaborations of multiple eye centres and institutes should facilitate the collection of a huge number of subjects for association studies.

**Heterogeneity**

Different results were found among different studies of linkage analysis

(Table 1) or association studies for myopia.<sup>41–58,66,67,75–77,87,102–113</sup> Some genetic variations are specific to certain ethnic groups and this may partially account for the heterogeneity of complex disorders. The population-specific SNPs are particularly important in association studies and relevant in context that myopia is much more prevalent in Chinese than in Caucasians.<sup>130</sup> The importance of ethnic-specific SNPs can best be illustrated by a functional coding SNP (Q506R) found in the Factor V gene. This coding SNP, with an allele frequency of three to seven per cent in Caucasians, accounts for a large proportion of thrombosis in these populations<sup>131</sup> but this coding SNP is not detected in Oriental populations, in which thrombotic disorders are less common. A recent study shows that common genetic variations contribute very much to differences observed in different ethnic groups in the gene expression levels of many genes and suggests that allele frequency differences at regulatory polymorphisms may also account for differences in prevalence of complex diseases in some populations.<sup>132</sup> Thus, replication of association studies in Caucasian populations may help to explain the differences in myopia prevalence with Chinese, if the SNP alleles are much more frequently found in Chinese. If the association of myopia with certain genetic variants can be reliably replicated, it is worth further investigating the functional effects of the genetic variants on the gene, such as the level of gene expression.<sup>133</sup> Stringent entry criteria may make subject recruitment very difficult in Caucasian populations because of lower prevalence of myopia and the probable lower allele frequencies of predisposing genetic variants.

### Gene-gene and gene-environment interactions

Neither genes nor environmental factors work alone: they interact with one another to give a final outcome—myopia in this case. The responses to environmental factors are expected to vary as a function of the underlying genetic make-up, a phenomenon known as gene-environment interaction.<sup>134</sup> The effects of a particular

genotype at a certain locus may also vary with the genotype at another locus, a situation termed gene-gene interaction. Environmental risk factors associated with or contributing to myopic development have been studied extensively.<sup>135</sup> Genetic factors contributing to myopia are also areas of active research. Myopia is a complex eye trait that is affected by both genetic and environmental factors, and their interactions. How genetic factors interact with each other and with environmental factors to produce myopia is not known. To realise the benefits of personalised medicine for prevention and treatment of myopia, it is both vital and challenging to investigate and understand such interactions, which can either enhance or reduce the risk of developing myopia. Quantification of such interactions requires stratified and multivariate analyses of studies with very large sample size. In recent years, many statistical and bioinformatic tools have been developed to address the issues of these gene-gene and gene-environment interactions.<sup>136–139</sup>

### CONCLUSIONS

Current genotyping techniques and statistics in genetics enable researchers to identify myopia susceptibility genes even without any prior knowledge of the pathophysiological mechanisms responsible for myopia. Many myopia susceptibility loci have been mapped successfully by linkage analysis. The databases of the NCBI and the HapMap Project provide rich information of genetic variations, which act as genetic markers for linkage analysis and association studies and expedite the mapping of myopia loci. The complex nature of myopia challenges the measurement and analysis of multiple genetic and environmental components and their interactions. Attention should also be paid to the interpretation of multiple and conflicting findings of association studies. The replication of myopia genetic studies is essential for confirmation of results and before further functional investigations. Development of analytical methods based on more sophisticated models of complex disease is required for gene-gene and gene-environment

interactions that should become a trend in the near future. The researcher can focus on specific candidate genes that may be associated with myopia, or even look across the entire genome to find chromosomal regions that may be associated with this complex eye trait/disease.

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### INFORMATION ON ELECTRONIC DATABASES AND WEBPAGES

The following databases and webpages are mentioned and/or discussed in the article.

Comparative Maps at the NCBI: <http://www.ncbi.nlm.nih.gov/projects/Homology/>

Human Genome Project: [http://www.ornl.gov/TechResources/Human\\_Genome/home.html](http://www.ornl.gov/TechResources/Human_Genome/home.html)

HUGO Gene Nomenclature Committee: <http://www.gene.ucl.ac.uk/nomenclature/>

International HapMap Project: <http://www.hapmap.org/index.html.en>

Mouse Genome Resources at the NCBI: <http://www.ncbi.nlm.nih.gov/genome/guide/mouse/>

OMIM entry for *MYP2* locus (with hyperlinks to other myopia loci): <http://www.ncbi.nlm.nih.gov/entrez/dispomim.cgi?id=160700>

Online Mendelian Inheritance in Man (OMIM) database at the NCBI: <http://www.ncbi.nlm.nih.gov/omim/>

www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=OMIM

Rat Genome Resources at the NCBI: <http://www.ncbi.nlm.nih.gov/genome/guide/rat/index.html>

Single Nucleotide Polymorphism database (dbSNP) at the NCBI: <http://www.ncbi.nlm.nih.gov/SNP/>

Web resources of genetic linkage analysis at Rockefeller University: <http://linkage.rockefeller.edu/>

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Corresponding author:  
 Professor Shea Ping Yip  
 Department of Health Technology and  
 Informatics  
 Hung Hom, Kowloon  
 Hong Kong SAR  
 CHINA  
 E-mail: shea.ping.yip@polyu.edu.hk